

# Simulation of Wireless Communication Systems using MATLAB

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# Outline

MATLAB Simulation

Frequency Diversity: Wide-Band Signals

## MATLAB Simulation

- ▶ **Objective:** Simulate a simple communication system and estimate bit error rate.
- ▶ **System Characteristics:**
  - ▶ BPSK modulation,  $b \in \{1, -1\}$  with equal a priori probabilities,
  - ▶ Raised cosine pulses,
  - ▶ AWGN channel,
  - ▶ oversampled integrate-and-dump receiver front-end,
  - ▶ digital matched filter.
- ▶ **Measure:** Bit-error rate as a function of  $E_s/N_0$  and oversampling rate.

## System to be Simulated

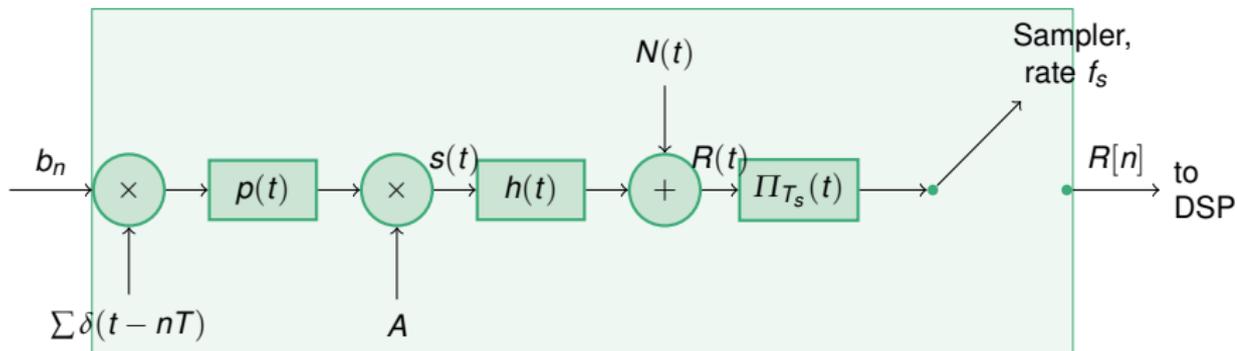


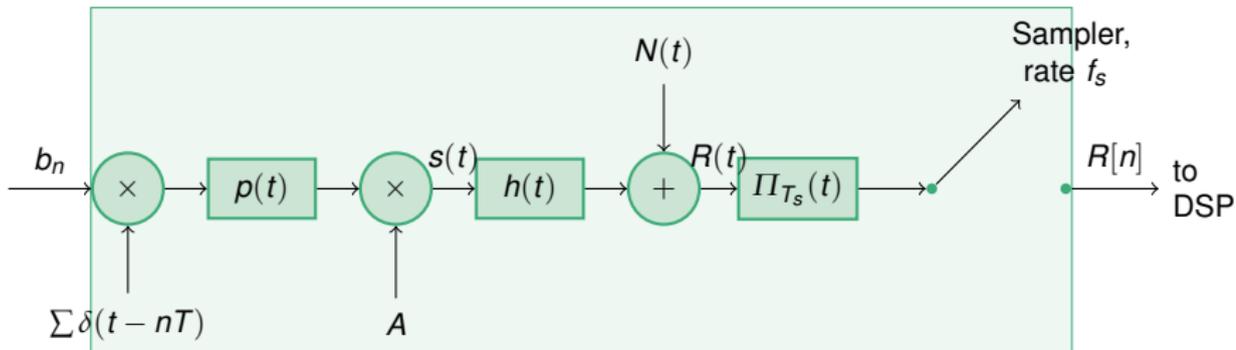
Figure: Baseband Equivalent System to be Simulated.

## From Continuous to Discrete Time

- ▶ The system in the preceding diagram cannot be simulated immediately.
  - ▶ **Main problem:** Most of the signals are continuous-time signals and cannot be represented in MATLAB.
- ▶ **Possible Remedies:**
  1. Rely on Sampling Theorem and work with sampled versions of signals.
  2. Consider discrete-time equivalent system.
- ▶ The second alternative is preferred and will be pursued below.

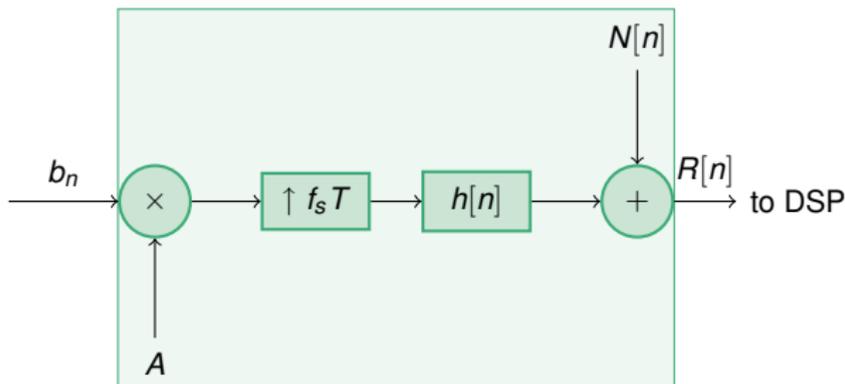
## Towards the Discrete-Time Equivalent System

- ▶ The shaded portion of the system has a discrete-time input and a discrete-time output.
  - ▶ Can be considered as a discrete-time system.
  - ▶ **Minor problem:** input and output operate at different rates.



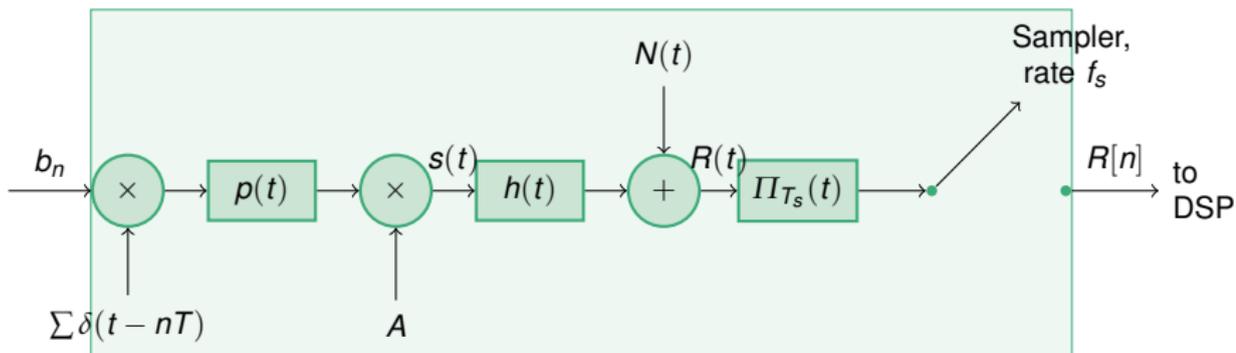
## Discrete-Time Equivalent System

- ▶ The **discrete-time equivalent system**
  - ▶ is equivalent to the original system, and
  - ▶ contains only discrete-time signals and components.
- ▶ Input signal is up-sampled by factor  $f_s T$  to make input and output rates equal.
  - ▶ Insert  $f_s T - 1$  zeros between input samples.



## Components of Discrete-Time Equivalent System

- **Question:** What is the relationship between the components of the original and discrete-time equivalent system?



## Discrete-time Equivalent Impulse Response

- ▶ To determine the impulse response  $h[n]$  of the discrete-time equivalent system:
  - ▶ Set noise signal  $N_t$  to zero,
  - ▶ set input signal  $b_n$  to unit impulse signal  $\delta[n]$ ,
  - ▶ output signal is impulse response  $h[n]$ .
- ▶ Procedure yields:

$$h[n] = \frac{1}{T_s} \int_{nT_s}^{(n+1)T_s} p(t) * h(t) dt$$

- ▶ For high sampling rates ( $f_s T \gg 1$ ), the impulse response is closely approximated by sampling  $p(t) * h(t)$ :

$$h[n] \approx p(t) * h(t) \Big|_{(n+\frac{1}{2})T_s}$$

## Discrete-time Equivalent Impulse Response

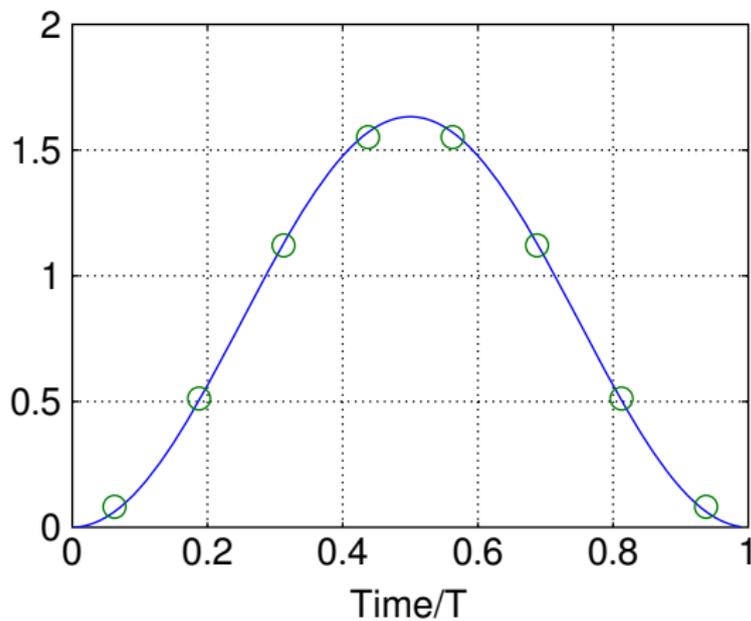


Figure: Discrete-time Equivalent Impulse Response ( $f_s T = 8$ )

## Discrete-Time Equivalent Noise

- ▶ To determine the properties of the additive noise  $N[n]$  in the discrete-time equivalent system,
  - ▶ Set input signal to zero,
  - ▶ let continuous-time noise be complex, white, Gaussian with power spectral density  $N_0$ ,
  - ▶ output signal is discrete-time equivalent noise.
- ▶ Procedure yields: The noise samples  $N[n]$ 
  - ▶ are independent, complex Gaussian random variables, with
  - ▶ zero mean, and
  - ▶ variance equal to  $N_0 / T_s$ .

## Received Symbol Energy

- ▶ The last entity we will need from the continuous-time system is the received energy per symbol  $E_s$ .
  - ▶ Note that  $E_s$  is controlled by adjusting the gain  $A$  at the transmitter.
- ▶ To determine  $E_s$ ,
  - ▶ Set noise  $N(t)$  to zero,
  - ▶ Transmit a single symbol  $b_n$ ,
  - ▶ Compute the energy of the received signal  $R(t)$ .
- ▶ Procedure yields:

$$E_s = \sigma_s^2 \cdot A^2 \int |p(t) * h(t)|^2 dt$$

- ▶ Here,  $\sigma_s^2$  denotes the variance of the source. For BPSK,  $\sigma_s^2 = 1$ .
- ▶ For the system under consideration,  $E_s = A^2 T$ .

## Simulating Transmission of Symbols

- ▶ We are now in position to simulate the transmission of a sequence of symbols.
  - ▶ The MATLAB functions previously introduced will be used for that purpose.
- ▶ We proceed in three steps:
  1. Establish parameters describing the system,
    - ▶ By parameterizing the simulation, other scenarios are easily accommodated.
  2. Simulate discrete-time equivalent system,
  3. Collect statistics from repeated simulation.

## Listing : SimpleSetParameters.m

```

3  % This script sets a structure named Parameters to be used by
   % the system simulator.

   %% Parameters
   % construct structure of parameters to be passed to system simulator
8  % communications parameters
Parameters.T = 1/10000;      % symbol period
Parameters.fsT = 8;         % samples per symbol
Parameters.Es = 1;          % normalize received symbol energy to 1
Parameters.EsOverN0 = 6;    % Signal-to-noise ratio (Es/N0)
13 Parameters.Alphabet = [1 -1]; % BPSK
Parameters.NSymbols = 1000; % number of Symbols

   % discrete-time equivalent impulse response (raised cosine pulse)
fsT = Parameters.fsT;
18 tts = ( (0:fsT-1) + 1/2 )/fsT;
Parameters.hh = sqrt(2/3) * ( 1 - cos(2*pi*tts)*sin(pi/fsT)/(pi/fsT) );
    
```

## Simulating the Discrete-Time Equivalent System

- ▶ The actual system simulation is carried out in MATLAB function `MCSimple` which has the function signature below.
  - ▶ The parameters set in the controlling script are passed as inputs.
  - ▶ The body of the function simulates the transmission of the signal and subsequent demodulation.
  - ▶ The number of incorrect decisions is determined and returned.

```
function [NumErrors, ResultsStruct] = MCSimple( ParametersStruct )
```

## Simulating the Discrete-Time Equivalent System

- ▶ The simulation of the discrete-time equivalent system uses toolbox functions `RandomSymbols`, `LinearModulation`, and `addNoise`.

```

A          = sqrt(Es/T);      % transmitter gain
N0         = Es/EsOverN0;    % noise PSD (complex noise)
NoiseVar   = N0/T*fsT;      % corresponding noise variance N0/Ts
Scale      = A*hh*hh';      % gain through signal chain

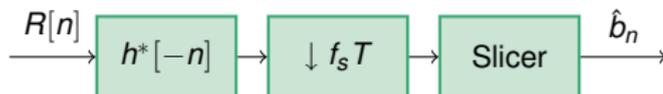
34  %% simulate discrete-time equivalent system
    % transmitter and channel via toolbox functions
    Symbols = RandomSymbols( NSymbols, Alphabet, Priors );
    Signal = A * LinearModulation( Symbols, hh, fsT );
39  if ( isreal(Signal) )
        Signal = complex(Signal); % ensure Signal is complex-valued
    end
    Received = addNoise( Signal, NoiseVar );
    
```

## Digital Matched Filter

- ▶ The vector `Received` contains the noisy output samples from the analog front-end.
- ▶ In a real system, these samples would be processed by digital hardware to recover the transmitted bits.
  - ▶ Such digital hardware may be an ASIC, FPGA, or DSP chip.
- ▶ The first function performed there is **digital matched filtering**.
  - ▶ This is a discrete-time implementation of the matched filter discussed before.
  - ▶ The matched filter is the best possible processor for enhancing the signal-to-noise ratio of the received signal.

## Digital Matched Filter

- ▶ In our simulator, the vector `Received` is passed through a discrete-time matched filter and down-sampled to the symbol rate.
  - ▶ The impulse response of the matched filter is the conjugate complex of the time-reversed, discrete-time channel response  $h[n]$ .



## MATLAB Code for Digital Matched Filter

- ▶ The signature line for the MATLAB function implementing the matched filter is:

```
function MFOut = DMF( Received, Pulse, fsT )
```

- ▶ The body of the function is a direct implementation of the structure in the block diagram above.

```
% convolve received signal with conjugate complex of  

% time-reversed pulse (matched filter)  

Temp = conv( Received, conj( fliplr(Pulse) ) );  

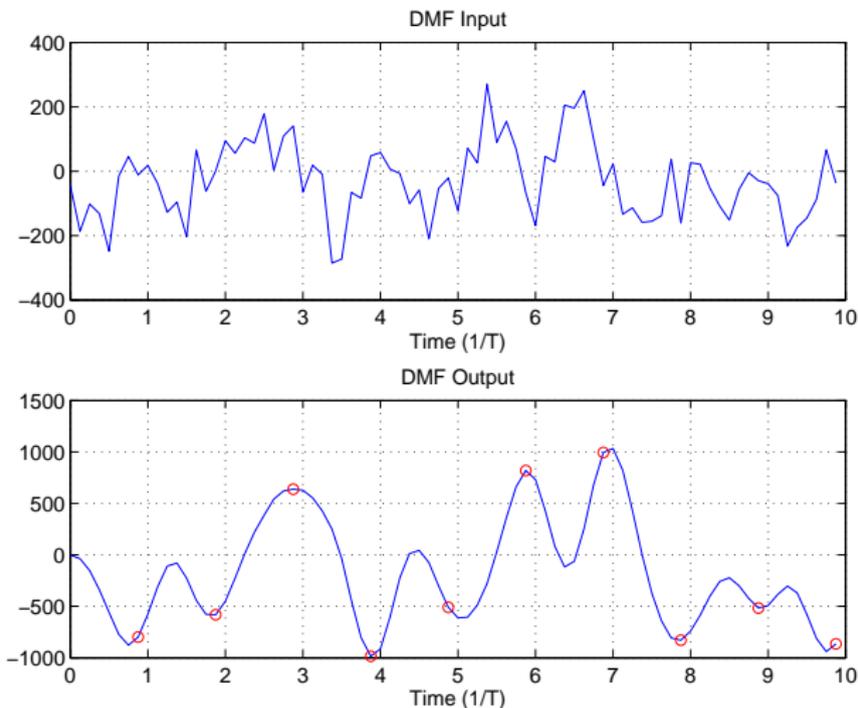
  

% down sample, at the end of each pulse period  

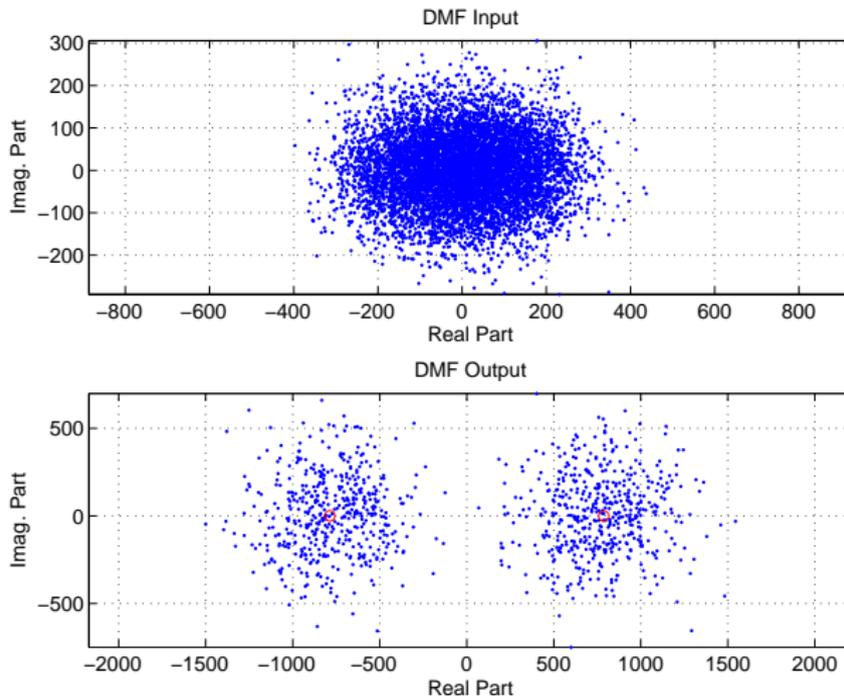
MFOut = Temp( length(Pulse) : fsT : end );
```

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## DMF Input and Output Signal



## IQ-Scatter Plot of DMF Input and Output



## Slicer

- ▶ The final operation to be performed by the receiver is deciding which symbol was transmitted.
  - ▶ This function is performed by the **slicer**.
- ▶ The operation of the slicer is best understood in terms of the IQ-scatter plot on the previous slide.
  - ▶ The red circles in the plot indicate the noise-free signal locations for each of the possibly transmitted signals.
  - ▶ For each output from the matched filter, the slicer determines the nearest noise-free signal location.
  - ▶ The decision is made in favor of the symbol that corresponds to the noise-free signal nearest the matched filter output.
- ▶ Some adjustments to the above procedure are needed when symbols are not equally likely.

## MATLAB Function SimpleSlicer

- ▶ The procedure above is implemented in a function with signature

```
function [Decisions, MSE] = SimpleSlicer( MFOut, Alphabet, Scale )
```

```
%% Loop over symbols to find symbol closest to MF output
```

```
for kk = 1:length( Alphabet )
```

```
    % noise-free signal location
```

```
28    NoisefreeSig = Scale*Alphabet(kk);
```

```
    % Euclidean distance between each observation and constellation points
```

```
    Dist          = abs( MFOut - NoisefreeSig );
```

```
    % find locations for which distance is smaller than previous best
```

```
    ChangedDec    = ( Dist < MinDist );
```

```
33
```

```
    % store new min distances and update decisions
```

```
    MinDist( ChangedDec ) = Dist( ChangedDec );
```

```
    Decisions( ChangedDec ) = Alphabet(kk);
```

```
end
```

## Entire System

- ▶ The addition of functions for the digital matched filter completes the simulator for the communication system.
- ▶ The functionality of the simulator is encapsulated in a function with signature

```
function [NumErrors, ResultsStruct] = MCSimple( ParametersStruct )
```

- ▶ The function simulates the transmission of a sequence of symbols and determines how many symbol errors occurred.
- ▶ The operation of the simulator is controlled via the parameters passed in the input structure.
- ▶ The body of the function is shown on the next slide; it consists mainly of calls to functions in our toolbox.

## Listing : MCSimple.m

```

%% simulate discrete-time equivalent system
% transmitter and channel via toolbox functions
Symbols = RandomSymbols( NSymbols, Alphabet, Priors );
38 Signal = A * LinearModulation( Symbols, hh, fsT );
if ( isreal(Signal) )
    Signal = complex(Signal);% ensure Signal is complex-valued
end
Received = addNoise( Signal, NoiseVar );
43
% digital matched filter and slicer
MFOut      = DMF( Received, hh, fsT );
Decisions = SimpleSlicer( MFOut(1:NSymbols), Alphabet, Scale );

48 %% Count errors
NumErrors = sum( Decisions ~= Symbols );
    
```

## Monte Carlo Simulation

- ▶ The system simulator will be the work horse of the Monte Carlo simulation.
- ▶ The objective of the Monte Carlo simulation is to estimate the **symbol error rate** our system can achieve.
- ▶ The idea behind a Monte Carlo simulation is simple:
  - ▶ Simulate the system repeatedly,
  - ▶ for each simulation count the number of transmitted symbols and symbol errors,
  - ▶ estimate the symbol error rate as the ratio of the total number of observed errors and the total number of transmitted bits.

## Monte Carlo Simulation

- ▶ The above suggests a relatively simple structure for a Monte Carlo simulator.
- ▶ Inside a programming loop:
  - ▶ perform a system simulation, and
  - ▶ accumulate counts for the quantities of interest

```
43   while ( ~Done )
        NumErrors(kk) = NumErrors(kk) + MCSimple( Parameters );
        NumSymbols(kk) = NumSymbols(kk) + Parameters.NSymbols;

        % compute Stop condition
48   Done = NumErrors(kk) > MinErrors || NumSymbols(kk) > MaxSy
end
```

## Confidence Intervals

- ▶ **Question:** How many times should the loop be executed?
- ▶ **Answer:** It depends
  - ▶ on the desired level of accuracy (confidence), and
  - ▶ (most importantly) on the symbol error rate.
- ▶ **Confidence Intervals:**
  - ▶ Assume we form an estimate of the symbol error rate  $P_e$  as described above.
  - ▶ Then, the true error rate  $\hat{P}_e$  is (hopefully) close to our estimate.
  - ▶ Put differently, we would like to be reasonably sure that the absolute difference  $|\hat{P}_e - P_e|$  is small.

## Confidence Intervals

- ▶ More specifically, we want a high probability  $p_c$  (e.g.,  $p_c = 95\%$ ) that  $|\hat{P}_e - P_e| < s_c$ .
  - ▶ The parameter  $s_c$  is called the **confidence interval**;
  - ▶ it depends on the confidence level  $p_c$ , the error probability  $P_e$ , and the number of transmitted symbols  $N$ .
- ▶ It can be shown, that

$$s_c = z_c \cdot \sqrt{\frac{P_e(1 - P_e)}{N}},$$

where  $z_c$  depends on the confidence level  $p_c$ .

- ▶ Specifically:  $Q(z_c) = (1 - p_c)/2$ .
  - ▶ Example: for  $p_c = 95\%$ ,  $z_c = 1.96$ .
- ▶ **Question:** How is the number of simulations determined from the above considerations?

## Choosing the Number of Simulations

- ▶ For a Monte Carlo simulation, a **stop criterion** can be formulated from
  - ▶ a desired confidence level  $p_c$  (and, thus,  $z_c$ )
  - ▶ an acceptable confidence interval  $s_c$ ,
  - ▶ the error rate  $P_e$ .
- ▶ Solving the equation for the confidence interval for  $N$ , we obtain

$$N = P_e \cdot (1 - P_e) \cdot (z_c / s_c)^2.$$

- ▶ A Monte Carlo simulation can be stopped after simulating  $N$  transmissions.
- ▶ **Example:** For  $p_c = 95\%$ ,  $P_e = 10^{-3}$ , and  $s_c = 10^{-4}$ , we find  $N \approx 400,000$ .

## A Better Stop-Criterion

- ▶ When simulating communications systems, the error rate is often very small.
- ▶ Then, it is desirable to specify the confidence interval as a fraction of the error rate.
  - ▶ The confidence interval has the form  $s_c = \alpha_c \cdot P_e$  (e.g.,  $\alpha_c = 0.1$  for a 10% acceptable estimation error).

- ▶ Inserting into the expression for  $N$  and rearranging terms,

$$P_e \cdot N = (1 - P_e) \cdot (z_c / \alpha_c)^2 \approx (z_c / \alpha_c)^2.$$

- ▶ Recognize that  $P_e \cdot N$  is the expected number of errors!
  - ▶ **Interpretation:** Stop when the number of errors reaches  $(z_c / \alpha_c)^2$ .
- ▶ **Rule of thumb:** Simulate until 400 errors are found ( $p_c = 95\%$ ,  $\alpha = 10\%$ ).

## Listing : MCSimpleDriver.m

```

9  % comms parameters delegated to script SimpleSetParameters
   SimpleSetParameters;

   % simulation parameters
   EsOverN0dB = 0:0.5:9; % vary SNR between 0 and 9dB
14  MaxSymbols = 1e6;      % simulate at most 1000000 symbols

   % desired confidence level and size of confidence interval
   ConfLevel    = 0.95;
   ZValue       = Qinv( ( 1-ConfLevel )/2 );
19  ConfIntSize = 0.1; % confidence interval size is 10% of estimate
   % For the desired accuracy, we need to find this many errors.
   MinErrors    = ( ZValue/ConfIntSize )^2;

   Verbose      = true; % control progress output
24

   %% simulation loops
   % initialize loop variables
   NumErrors    = zeros( size( EsOverN0dB ) );
   NumSymbols   = zeros( size( EsOverN0dB ) );
    
```

## Listing : MCSimpleDriver.m

```

for kk = 1:length( EsOverN0dB )
32   % set Es/N0 for this iteration
   Parameters.EsOverN0 = dB2lin( EsOverN0dB(kk) );
   % reset stop condition for inner loop
   Done = false;

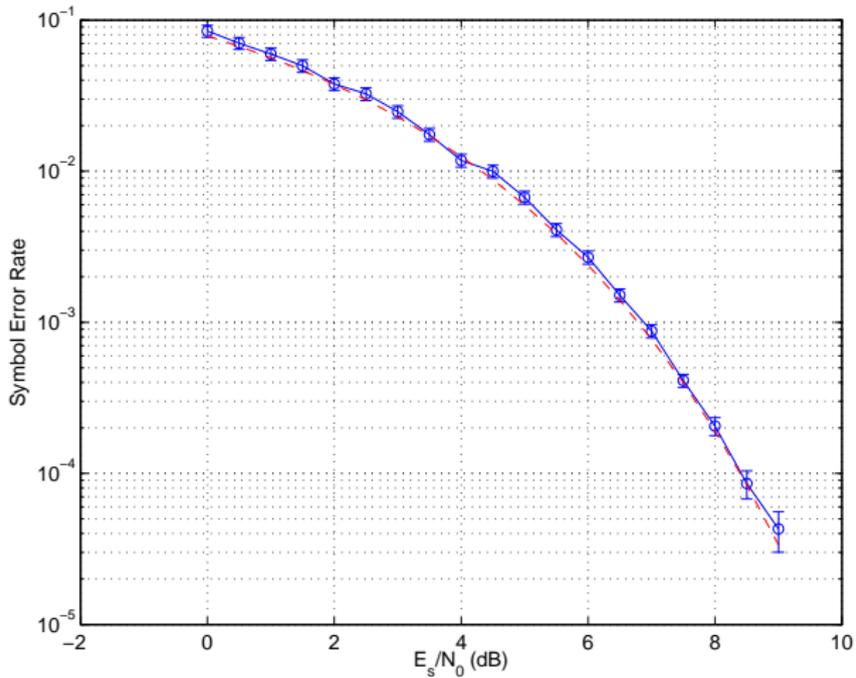
37   % progress output
   if (Verbose)
       disp( sprintf( 'Es/N0:_%0.3g_dB', EsOverN0dB(kk) ) );
   end

42   % inner loop iterates until enough errors have been found
   while ( ~Done )
       NumErrors(kk) = NumErrors(kk) + MCSimple( Parameters );
       NumSymbols(kk) = NumSymbols(kk) + Parameters.NSymbols;

47       % compute Stop condition
       Done = NumErrors(kk) > MinErrors || NumSymbols(kk) > MaxSymbol
   end

```

# Simulation Results



## Summary

- ▶ Introduced discrete-time equivalent systems suitable for simulation in MATLAB.
  - ▶ Relationship between original, continuous-time system and discrete-time equivalent was established.
- ▶ Digital post-processing: digital matched filter and slicer.
- ▶ Monte Carlo simulation of a simple communication system was performed.
  - ▶ Close attention was paid to the accuracy of simulation results via confidence levels and intervals.
  - ▶ Derived simple rule of thumb for stop-criterion.

## Where we are ...

- ▶ Laid out a structure for describing and analyzing communication systems in general and wireless systems in particular.
- ▶ Saw a lot of MATLAB examples for modeling diverse aspects of such systems.
- ▶ Conducted a simulation to estimate the error rate of a communication system and compared to theoretical results.
- ▶ To do: consider selected aspects of wireless communication systems in more detail, including:
  - ▶ modulation and bandwidth,
  - ▶ wireless channels,
  - ▶ advanced techniques for wireless communications.

# Outline

MATLAB Simulation

Frequency Diversity: Wide-Band Signals

## Frequency Diversity through Wide-Band Signals

- ▶ We have seen above that narrow-band systems do not have built-in diversity.
  - ▶ Narrow-band signals are susceptible to have the entire signal affected by a deep fade.
- ▶ In contrast, wide-band signals cover a bandwidth that is wider than the coherence bandwidth.
  - ▶ **Benefit:** Only portions of the transmitted signal will be affected by deep fades (frequency-selective fading).
  - ▶ **Disadvantage:** Short symbol duration induces ISI; receiver is more complex.
- ▶ The benefits, far outweigh the disadvantages and wide-band signaling is used in most modern wireless systems.

## Illustration: Built-in Diversity of Wide-band Signals

- ▶ We illustrate that wide-band signals do provide diversity by means of a simple thought experiments.
- ▶ **Thought experiment:**
  - ▶ Recall that in discrete time a multi-path channel can be modeled by an FIR filter.
    - ▶ Assume filter operates at symbol rate  $T_s$ .
    - ▶ The delay spread determines the number of taps  $L$ .
  - ▶ Our hypothetical system transmits one information symbol in every  $L$ -th symbol period and is silent in between.
  - ▶ At the receiver, each transmission will produce  $L$  non-zero observations.
    - ▶ This is due to multi-path.
    - ▶ Observation from consecutive symbols don't overlap (no ISI)
  - ▶ Thus, for each symbol we have  $L$  independent observations, i.e., we have  $L$ -fold diversity.

## Illustration: Built-in Diversity of Wide-band Signals

- ▶ We will demonstrate shortly that it is not necessary to leave gaps in the transmissions.
  - ▶ The point was merely to eliminate ISI.
- ▶ Two insights from the thought experiment:
  - ▶ Wide-band signals provide built-in diversity.
    - ▶ The receiver gets to look at multiple versions of the transmitted signal.
  - ▶ The order of diversity depends on the ratio of delay spread and symbol duration.
    - ▶ Equivalently, on the ratio of signal bandwidth and coherence bandwidth.
- ▶ We are looking for receivers that both exploit the built-in diversity and remove ISI.
  - ▶ Such receiver elements are called equalizers.

# Equalization

- ▶ Equalization is obviously a very important and well researched problem.
- ▶ Equalizers can be broadly classified into three categories:
  1. **Linear Equalizers:** use an inverse filter to compensate for the variations in the frequency response.
    - ▶ Simple, but not very effective with deep fades.
  2. **Decision Feedback Equalizers:** attempt to reconstruct ISI from past symbol decisions.
    - ▶ Simple, but have potential for error propagation.
  3. **ML Sequence Estimation:** find the most likely sequence of symbols given the received signal.
    - ▶ Most powerful and robust, but computationally complex.

# Maximum Likelihood Sequence Estimation

- ▶ Maximum Likelihood Sequence Estimation provides the most powerful equalizers.
- ▶ Unfortunately, the computational complexity grows exponentially with the ratio of delay spread and symbol duration.
  - ▶ I.e., with the number of taps in the discrete-time equivalent FIR channel.

## Maximum Likelihood Sequence Estimation

- ▶ The principle behind MLSE is simple.
  - ▶ Given a received sequence of samples  $R[n]$ , e.g., matched filter outputs, and
  - ▶ a model for the output of the multi-path channel:  
 $\hat{r}[n] = s[n] * h[n]$ , where
    - ▶  $s[n]$  denotes the symbol sequence, and
    - ▶  $h[n]$  denotes the discrete-time channel impulse response, i.e., the channel taps.
  - ▶ Find the sequence of information symbol  $s[n]$  that minimizes

$$D^2 = \sum_n^N |r[n] - s[n] * h[n]|^2.$$

## Maximum Likelihood Sequence Estimation

- ▶ The criterion

$$D^2 = \sum_n^N |r[n] - s[n] * h[n]|^2.$$

- ▶ performs diversity combining (via  $s[n] * h[n]$ ), and
- ▶ removes ISI.
- ▶ The minimization of the above metric is difficult because it is a discrete optimization problem.
  - ▶ The symbols  $s[n]$  are from a discrete alphabet.
- ▶ A computationally efficient algorithm exists to solve the minimization problem:
  - ▶ The **Viterbi Algorithm**.
  - ▶ The toolbox contains an implementation of the Viterbi Algorithm in function `va`.

## MATLAB Simulation

- ▶ A Monte Carlo simulation of a wide-band signal with an equalizer is conducted
  - ▶ to illustrate that diversity gains are possible, and
  - ▶ to measure the symbol error rate.
- ▶ As before, the Monte Carlo simulation is broken into
  - ▶ set simulation parameter (script `VASetParameters`),
  - ▶ simulation control (script `MCVADriver`), and
  - ▶ system simulation (function `MCVA`).

# MATLAB Simulation: System Parameters

## Listing : VASetParameters.m

```

Parameters.T = 1/1e6;           % symbol period
Parameters.fsT = 8;            % samples per symbol
Parameters.Es = 1;             % normalize received symbol energy to 1
Parameters.EsOverN0 = 6;      % Signal-to-noise ratio (Es/N0)
13 Parameters.Alphabet = [1 -1]; % BPSK
Parameters.NSymbols = 500;    % number of Symbols per frame

Parameters.TrainLoc = floor(Parameters.NSymbols/2); % location of t
Parameters.TrainLength = 40;
18 Parameters.TrainingSeq = RandomSymbols( Parameters.TrainLength, ...
                                           Parameters.Alphabet, [0.5 0.5])

% channel
Parameters.ChannelParams = tux(); % channel model
23 Parameters.fd = 3;           % Doppler
Parameters.L = 6;              % channel order
    
```

## MATLAB Simulation

- ▶ The first step in the system simulation is the simulation of the transmitter functionality.
  - ▶ This is identical to the narrow-band case, except that the baud rate is 1 MHz and 500 symbols are transmitted per frame.
  - ▶ There are 40 training symbols.

### Listing : MCVA.m

```

41  % transmitter and channel via toolbox functions
    InfoSymbols = RandomSymbols( NSymbols, Alphabet, Priors );
    % insert training sequence
    Symbols = [ InfoSymbols(1:TrainLoc) TrainingSeq ...
               InfoSymbols(TrainLoc+1:end) ];
46  % linear modulation
    Signal = A * LinearModulation( Symbols, hh, fsT );
    
```

## MATLAB Simulation

- ▶ The channel is simulated without spatial diversity.
  - ▶ To focus on the frequency diversity gained by wide-band signaling.
- ▶ The channel simulation invokes the time-varying multi-path simulator and the AWGN function.

```
% time-varying multi-path channels and additive noise  
Received = SimulateCOSTChannel( Signal, ChannelParams, fs);  
51 Received = addNoise( Received, NoiseVar );
```

## MATLAB Simulation

- ▶ The receiver proceeds as follows:
  - ▶ Digital matched filtering with the pulse shape; followed by down-sampling to 2 samples per symbol.
  - ▶ Estimation of the coefficients of the FIR channel model.
  - ▶ Equalization with the Viterbi algorithm; followed by removal of the training sequence.

```

MFOut      = DMF( Received, hh, fsT/2 );

% channel estimation
57 MFOutTraining = MFOut( 2*TrainLoc+1 : 2*(TrainLoc+TrainLength) );
   ChannelEst = EstChannel( MFOutTraining, TrainingSeq, L, 2);

% VA over MFOut using ChannelEst
Decisions = va( MFOut, ChannelEst, Alphabet, 2);
62 % strip training sequence and possible extra symbols
   Decisions( TrainLoc+1 : TrainLoc+TrainLength ) = [ ];
    
```

## Channel Estimation

### ► Channel Estimate:

$$\hat{\mathbf{h}} = (\mathbf{S}'\mathbf{S})^{-1} \cdot \mathbf{S}'\mathbf{r},$$

where

- **S** is a Toeplitz matrix constructed from the training sequence, and
- **r** is the corresponding received signal.

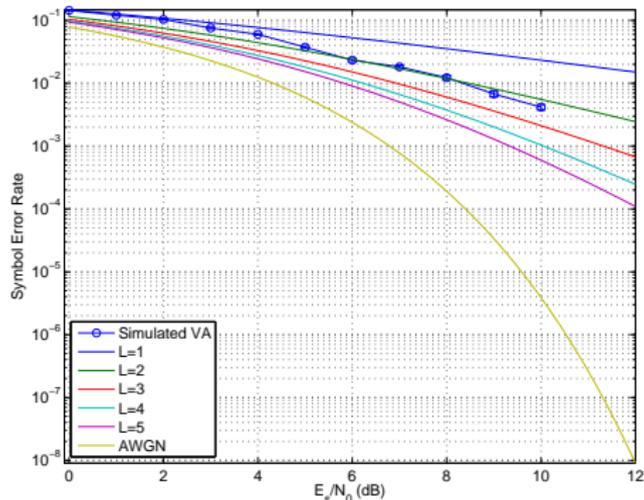
```

TrainingSPS                = zeros(1, length(Received) );
14 TrainingSPS(1:SpS:end) = Training;

% make into a Toeplitz matrix, such that T*h is convolution
TrainMatrix = toeplitz( TrainingSPS, [Training(1) zeros(1, Order-1)]);

19 ChannelEst = Received * conj( TrainMatrix) * ...
    inv(TrainMatrix' * TrainMatrix);
    
```

# Simulated Symbol Error Rate with MLSE Equalizer



**Figure:** Symbol Error Rate with Viterbi Equalizer over Multi-path Fading Channel; Rayleigh channels with transmitter diversity shown for comparison. Baud rate 1MHz, Delay spread  $\approx 2\mu\text{s}$ .

## Conclusions

- ▶ The simulation indicates that the wide-band system with equalizer achieves a diversity gain similar to a system with transmitter diversity of order 2.
  - ▶ The ratio of delay spread to symbol rate is 2.
  - ▶ comparison to systems with transmitter diversity is appropriate as the total average power in the channel taps is normalized to 1.
  - ▶ Performance at very low SNR suffers, probably, from inaccurate estimates.
- ▶ Higher gains can be achieved by increasing bandwidth.
  - ▶ This incurs more complexity in the equalizer, and
  - ▶ potential problems due to a larger number of channel coefficients to be estimated.
- ▶ Alternatively, this technique can be combined with additional diversity techniques (e.g., spatial diversity).

## More Ways to Create Diversity

- ▶ A quick look at three additional ways to create and exploit diversity.
  1. Time diversity.
  2. Frequency Diversity through OFDM.
  3. Multi-antenna systems (MIMO)

## Time Diversity

- ▶ **Time diversity:** is created by sending information multiple times in different frames.
  - ▶ This is often done through *coding* and *interleaving*.
  - ▶ This technique relies on the channel to change sufficiently between transmissions.
    - ▶ The channel's coherence time should be much smaller than the time between transmissions.
  - ▶ If this condition cannot be met (e.g., for slow-moving mobiles), *frequency hopping* can be used to ensure that the channel changes sufficiently.
- ▶ The diversity gain is (at most) equal to the number of time-slots used for repeating information.
- ▶ Time diversity can be easily combined with frequency diversity as discussed above.
  - ▶ The combined diversity gain is the product of the individual diversity gains.

# OFDM

- ▶ OFDM has received a lot of interest recently.
- ▶ OFDM can elegantly combine the benefits of narrow-band signals and wide-band signals.
  - ▶ Like for narrow-band signaling, an equalizer is not required; merely the gain for each subcarrier is needed.
    - ▶ Very low-complexity receivers.
  - ▶ OFDM signals are inherently wide-band; frequency diversity is easily achieved by repeating information (really coding and interleaving) on widely separated subcarriers.
    - ▶ Bandwidth is not limited by complexity of equalizer;
    - ▶ High signal bandwidth to coherence bandwidth is possible; high diversity.

## MIMO

- ▶ We have already seen that multiple antennas at the receiver can provide both diversity and array gain.
  - ▶ The diversity gain ensures that the likelihood that there is no good channel from transmitter to receiver is small.
  - ▶ The array gain exploits the benefits from observing the transmitted energy multiple times.
- ▶ If the system is equipped with multiple transmitter antennas, then the number of channels equals the product of the number of antennas.
  - ▶ Very high diversity.
- ▶ Recently, it has been found that multiple streams can be transmitted in parallel to achieve high data rates.
  - ▶ Multiplexing gain
- ▶ The combination of multi-antenna techniques and OFDM appears particularly promising.

## Summary

- ▶ A close look at the detrimental effect of typical wireless channels.
  - ▶ Narrow-band signals without diversity suffer poor performance (Rayleigh fading).
  - ▶ Simulated narrow-band system.
- ▶ To remedy this problem, diversity is required.
  - ▶ Analyzed systems with antenna diversity at the receiver.
  - ▶ Verified analysis through simulation.
- ▶ Frequency diversity and equalization.
  - ▶ Introduced MLSE and the Viterbi algorithm for equalizing wide-band signals in multi-path channels.
  - ▶ Simulated system and verified diversity.
- ▶ A brief look at other diversity techniques.