

### 5. Capacity of Wireless Channels



#### **Information Theory**

- So far we have only looked at specific communication schemes.
- Information theory provides a fundamental limit to (coded) performance.
- It succinctly identifies the impact of channel resources on performance as well as suggests new and cool ways to communicate over the wireless channel.
- It provides the basis for the modern development of wireless communication.



### Capacity of AWGN Channel

#### Capacity of AWGN channel

$$C_{\text{awgn}} = \log(1 + \text{SNR})$$
 bits/s/Hz  
=  $W \log(1 + \text{SNR})$  bits/s

If average transmit power constraint is  $\bar{P}$  watts and noise psd is  $N_0$  watts/Hz,

$$C_{\rm awgn} = W \log \left( 1 + \frac{\bar{P}}{N_0 W} \right) \quad {\rm bits/s}.$$



#### Power and Bandwidth Limited Regimes

$$C_{\rm awgn} = W \log \left( 1 + \frac{\bar{P}}{N_0 W} \right)$$

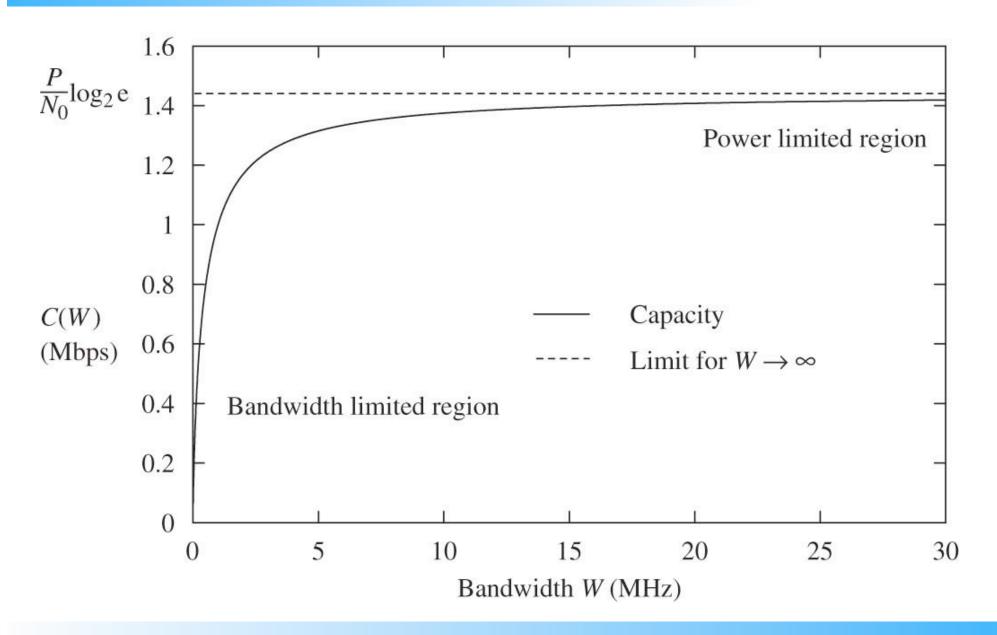
$$\mathsf{SNR} = \frac{\bar{P}}{N_0 W}$$

Bandwidth limited regime  $SNR \gg 1$ : capacity logarithmic in power, approximately linear in bandwidth.

Power limited regime  $SNR \ll 1$ : capacity linear in power, insensitive to bandwidth.

#### 5: Capacity of Wireless Channels







#### Example 1: Impact of Frequency Reuse

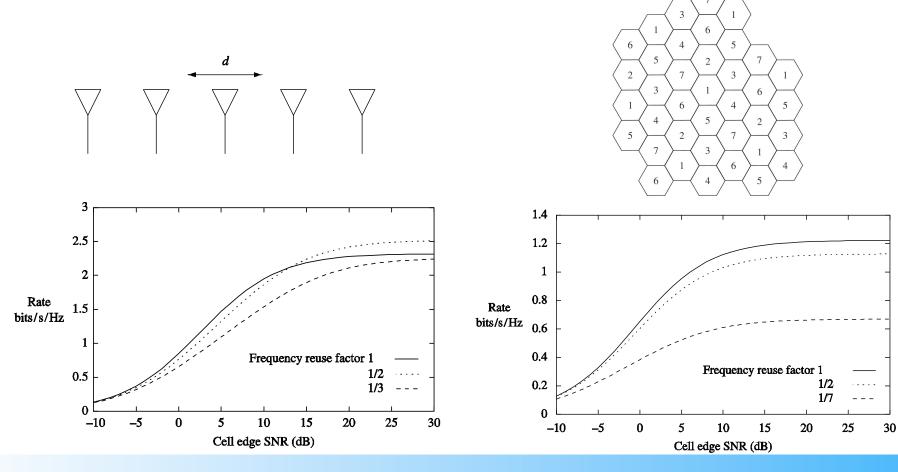
- Different degree of frequency reuse allows a tradeoff between SINR and degrees of freedom per user.
- Users in narrowband systems have high link SINR but small fraction of system bandwidth.
- Users in wideband systems have low link SINR but full system bandwidth.
- Capacity depends on both SINR and d.o.f. and can provide a guideline for optimal reuse.
- Optimal reuse depends on how the out-of-cell interference fraction f(ρ) depends on the reuse factor ρ.



#### **Numerical Examples**

Linear cellular system

Hexagonal system





#### Example 2: CDMA Uplink Capacity

Single cell with K users.

SINR = 
$$\frac{P}{N_0 + (K-1)P} \approx \frac{1}{K}$$
 (-15 dB for  $K = 32$ )

Capacity per user

= 
$$\log (1 + SINR) \approx SINR \log_2 e$$
 bits/s/Hz.

Cell capacity (interference-limited)

$$\approx K \cdot \text{SINR} \log_2 e \approx 1.442 \text{bits/s/Hz}$$



#### Example 2 (continued)

If out-of-cell interference is a fraction f of in-cell interference:

$$C pprox rac{1.442}{1+f}$$
 bits/s/Hz

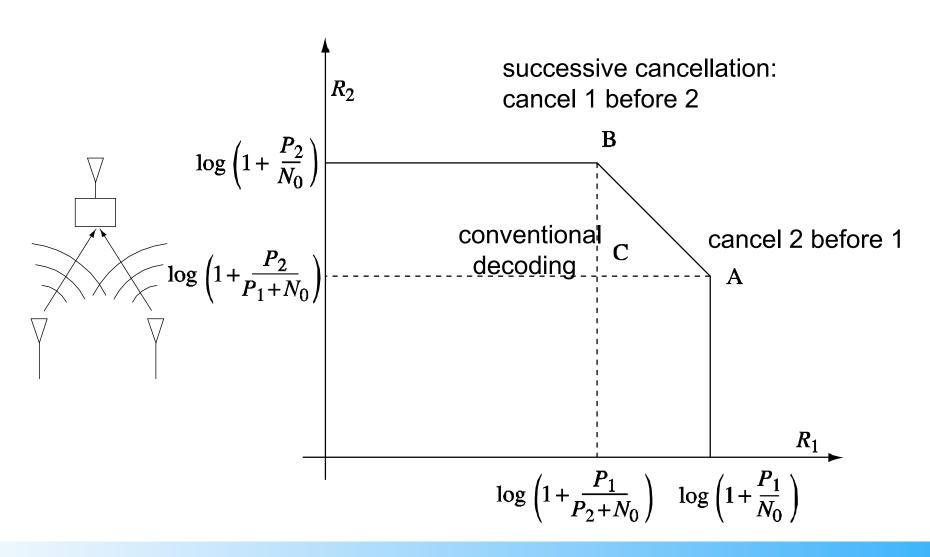


#### **Uplink and Downlink Capacity**

- CDMA and OFDM are specific multiple access schemes.
- But information theory tells us what is the capacity of the uplink and downlink channels and the optimal multiple access schemes.

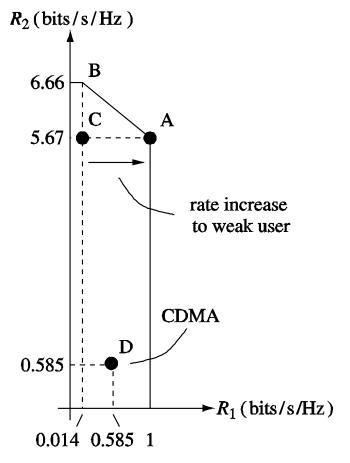


### **Uplink AWGN Capacity**





#### Conventional CDMA vs Capacity

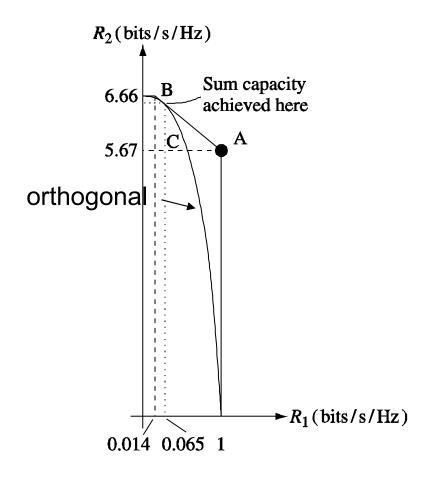


20 dB power difference between 2 users

Successive cancellation allows the weak user to have a good rate without lowering the power of the strong user.



#### Orthogonal vs Capacity

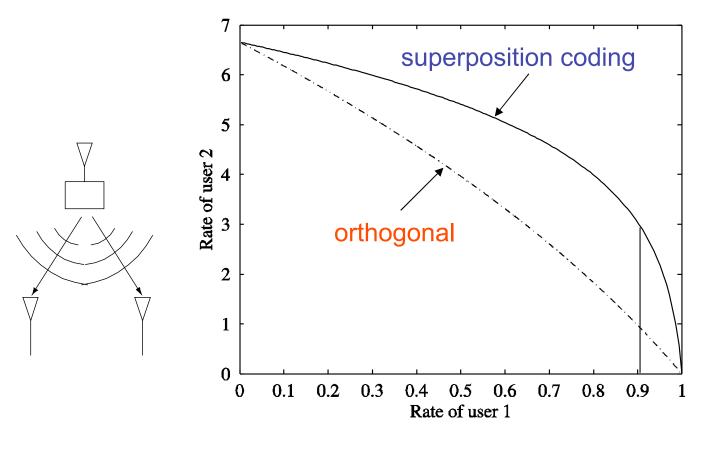


20 dB power difference between 2 users

Orthogonal achieves maximum throughput but may not be fair.



## **Downlink Capacity**



20 dB gain difference between 2 users



#### Frequency-selective Channel

$$y[m] = \sum_{\ell} h_{\ell} x[m - \ell] + w[m]$$

 $h_l$  's are time-invariant.

OFDM converts it into a parallel channel:

$$ilde{y}_n = ilde{h}_n ilde{d}_n + ilde{w}_n, \qquad n = 1, \dots, N_c.$$
  $C_{N_c} = \sum_{n=0}^{N_c-1} \log\left(1 + rac{P_n^* | ilde{h}_n|^2}{N_0}
ight),$ 

where  $P_n^*$  is the waterfilling allocation:

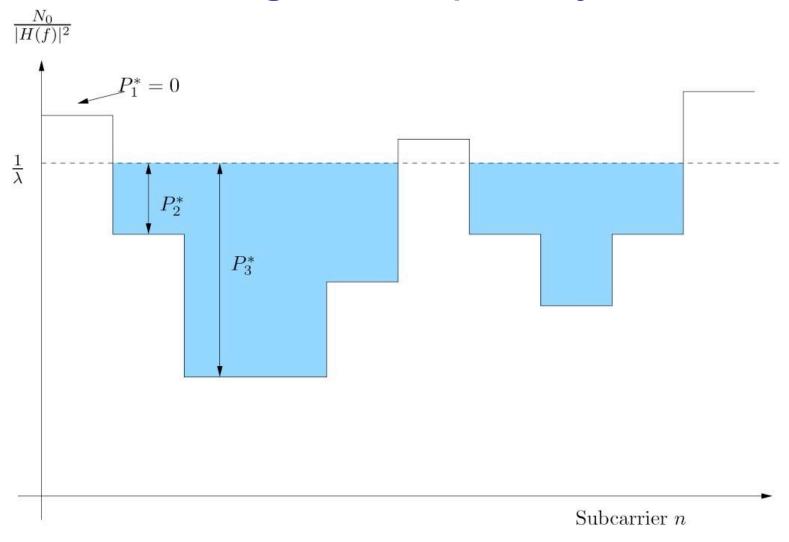
$$P_n^* = \left(\frac{1}{\lambda} - \frac{N_0}{|\tilde{h}_n|^2}\right)^+$$

with  $\lambda$  chosen to meet the power constraint.

Can be achieved with separate coding for each sub-carrier.



# Waterfilling in Frequency Domain





## Slow Fading Channel

$$y[m] = hx[m] + w[m]$$

h random.

There is no definite capacity.

Outage probability:

$$p_{\text{out}}(R) = \mathcal{P}\left\{\log(1+|h|^2\text{SNR}) < R\right\}$$

 $\epsilon$  -outage capacity:

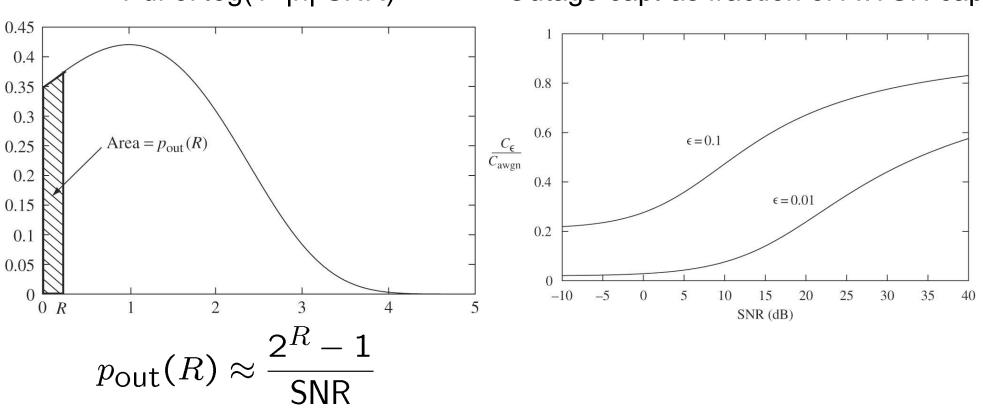
$$C_{\epsilon} = p_{\mathsf{out}}^{-1}(\epsilon)$$



### Outage for Rayleigh Channel

#### Pdf of $log(1+|h|^2SNR)$

Outage cap. as fraction of AWGN cap.





#### Receive Diversity

$$p_{\text{Out}}(R) = \mathcal{P}\left\{\log\left(1 + \|\mathbf{h}\|^{2} \text{SNR}\right) < R\right\}$$

$$0.8 \quad L=4$$

$$0.6 \quad L=5$$

$$0.6 \quad C_{\text{awgn}} \quad 0.4$$

$$0.2 \quad L=1$$

$$0.8 \quad 0.4 \quad 0.2$$

$$0.9 \quad 0.9 \quad 0.4$$

$$0.9 \quad 0.9 \quad 0.9$$

Diversity plus power gain.